

|  |  |                                 |                                 |
|--|--|---------------------------------|---------------------------------|
| Form PTO-1449  | U.S. Department of Commerce<br>Patent and Trademark Office | ATTY. DOCKET NO.<br>P31178D7-C1 | U.S. SERIAL NO.<br>Not Assigned |
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><br><i>(Use several sheets if necessary)</i> |  | APPLICANT<br>Ward et al.        |                                 |
|  |  | FILING DATE<br>Herewith         | GROUP ART                       |

**U.S. PATENT DOCUMENTS**

| Examiner Initial |    | Document Number | Date | Name          | Class | Subclass | Filing Date If Appropriate |
|------------------|----|-----------------|------|---------------|-------|----------|----------------------------|
|                  | AA | 4,721,723       | 1988 | Barnes et al. | 514   | 321      |                            |
|                  | AB | 3,912,743       | 1975 | Christensen   | 546   | 197      |                            |
|                  | AC | 4,007,196       | 1977 | Christensen   | 546   | 197      |                            |
|                  | AD | 4,745,122       | 1988 | Lassen        | 514   | 321      |                            |
|                  |    |                 |      |               |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

|  |    | Document Number | Date | Country | Class | Subclass | Translation<br>Yes      No |  |
|--|----|-----------------|------|---------|-------|----------|----------------------------|--|
|  | BA | EP 0 190 496    | 1986 | EPO     |       |          |                            |  |
|  | BB | EP 0 374 674    | 1989 | EPO     |       |          |                            |  |
|  | BC | 2 187 128       | 1997 | Canada  |       |          |                            |  |
|  |    |                 |      |         |       |          |                            |  |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |   |
|--|----|---|
|  | CA | Buxton et al., International Journal of Pharmaceutics, 42, pp. 135-143 (1988)   |
|  | CB | Buxton, et al., "Solid-State Forms of Paroxetine Hydrochloride", Publication presented at meeting of Joint Pharmaceutical Analysis Group in London on October 8, 1987 |
|  | CC | Wennogle, et al., Life Sciences, Vol. 36, pp. 1541-1550 (1985)  |
|  | CD | Fuller et al., Neuropharmacology, 23(5), pp. 539-544 (1984)   |
|  | CE | Hwang et al., Communication in Psychopharmacol., Vol. 4, pp. 161-167 (1980)   |
|  | CF | Lund et al., Acta Pharmacol. Toxicol., Vol. 44, pp. 289-295 (1979)  |
|  | CG | Middlemiss, Naunyn-Schmiedeberg's Arch. Pharmacol., Vol. 327, pp. 18-22 (1984)  |
|  | CH | Laursen et al., Acta Psychiatr. Scand., Vol. 71, pp. 249-255 (1985)   |
|  | CI | Lynch et al., "Infrared Spectroscopic Studies on the Solid State Forms of Paroxetine Hydrochloride", Analytical Proceedings, Vol. 25, pp. 305-306 (1988)              |
|  | CJ | Hair et al., "Gels and Foams From Ultrahigh-Molecular Weight Polyethylene", Chemical Abstracts, 110:58945 (1988)  |

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

|  |  |                                 |                                 |
|--|--|---------------------------------|---------------------------------|
| Form PTO-1449  | U.S. Department of Commerce<br>Patent and Trademark Office | ATTY. DOCKET NO.<br>P31178D7-C1 | U.S. SERIAL NO.<br>Not Assigned |
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><br><i>(Use several sheets if necessary)</i> |  | APPLICANT<br>Ward et al.        |                                 |
|  |  | FILING DATE<br>Herewith         | GROUP ART                       |

**U.S. PATENT DOCUMENTS**

| Examiner Initial |    | Document Number | Date | Name            | Class | Sub class | Filing Date If Appropriate |
|------------------|----|-----------------|------|-----------------|-------|-----------|----------------------------|
|                  | AE | 5,672,612       | 1997 | Ronsen et al.   | 514   | 338       |                            |
|                  | AF | 5,874,447       | 1999 | Benneker et al. | 514   | 321       |                            |
|                  | AG | 4,804,669       | 1989 | Lassen          | 514   | 326       |                            |
|                  | AH | 5,371,092       | 1994 | JOHNSON         | 514   | 321       |                            |
|                  |    |                 |      |                 |       |           |                            |
|                  |    |                 |      |                 |       |           |                            |

**FOREIGN PATENT DOCUMENTS**

|  |  | Document Number | Date | Country | Class | Sub class | Translation |    |
|--|--|-----------------|------|---------|-------|-----------|-------------|----|
|  |  |                 |      |         |       |           | Yes         | No |
|  |  |                 |      |         |       |           |             |    |
|  |  |                 |      |         |       |           |             |    |
|  |  |                 |      |         |       |           |             |    |
|  |  |                 |      |         |       |           |             |    |
|  |  |                 |      |         |       |           |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|          |    |   |
|----------|----|---|
|          | CK | Evans, "An Introduction to Crystal Chemistry, Cambridge Press, pp. 393-397 (1964)               |
|          | CL | Hanney, "Treatise on Solid State Chemistry", Plenum Press, pp. 89-90 (1977)                     |
|          | CM | Fox et al., Physics and Chemistry of the Organic Solid State, Interscience Publications, (1963) |
|          | CN | Remington: The Science and Practice of Pharmacy, 1995, Vol. II, pp. 1524.                       |
|          |    |   |
|          |    |   |
|          |    |   |
|          |    |   |
|          |    |   |
| EXAMINER |    | DATE CONSIDERED   |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

n:\mtf\wjd\responses\P31178D7-C1-1449.doc